# Replication of R-pronouns in German dialects

**Claim:** We claim that the doubling of R-pronouns (*da*, *wo*) found in several (mainly southern) German dialects (cf. Fleischer 2002) results from two consecutive movements: (i) incorporation into P<sup>0</sup> and (ii) subsequent movement to SpecPP, both triggered by an interaction of ranked violable constraints (in Optimality Theory) on possible properties and movement steps of Rpronouns. These movements are independent of further extraction out of PP. Data: German has two kinds of pronouns in prepositional phrases: regular NP pronouns (ihn, sie, ihr, etc.) and R-pronouns (da, wo). The latter usually combine with the preposition to create a pronominal adverb (damit, wofür, hiervon). While movement of regular NP pronouns out of PP is not possible (1a), R-pronouns may be extracted stranding the preposition (1b). In many dialects, however, a copy of the R-pronoun stays in situ inside PP (2a) (Distanzverdopplung, Fleischer 2002). This copy cannot be a repair of a stranded P, as it also occurs when the PP is left intact (in situ (2b) and with pied-piping (2c); Kurze Verdopplung, Fleischer 2002). Copies of the interrogative R-pronoun wo adjacent to the preposition take the form of the declarative R-pronoun da (3). Issues: The data raise three questions: (i) Why do regular NP pronouns and R-pronouns have different distributional and movement properties? (ii) Why is the Rpronoun doubled if not to avoid a stranded preposition? (iii) What accounts for the dialectal differences w.r.t. doubling of the R-pronoun? **Background:** Following Gallmann (1997); Müller (2000a); Fleischer (2002), we assume that R-pronouns (like regular NP pronouns) originate in the complement of P. According to Müller (2000a), certain regular pronouns are subject to the Wackernagel-Ross dilemma in this position: They must move into the Wackernagel position but cannot cross PP due to its islandhood. As a repair, R-pronouns are inserted because they do not receive case from P and are therefore not subject to the PP-island. The substitution is regulated by conflicting violable constraints ranked in an OT-grammar. This solves issue (i). **Proposal:** Issue (iii) can be accounted for by interaction of five constraints. Co-SEL, originating from Chomsky's (1981) *Projection Principle*, punishes non-selected elements (R-pronouns) in complement positions forcing the R-pronoun to move out of the complement position of P (independent of later extraction out of PP). There are two options (Gallmann 1997; Fleischer 2002): Either it moves directly into SpecPP violating a constraint against 'antilocal' movement (A-Loc, cf. Abels 2003; Grohmann 2003) or it incorporates into P. However, as we argue, incorporated elements cannot refer nor be referred to by other elements (cf. Krifka et al. 1995; Mithun 2010). Therefore, \*PR-INC requires at least one token of a referring element (a pronoun) to not be incorporated. Copies result from interaction of a constraint against traces in complex heads \*[x0 t] (cf. Lexical Integrity, Lapointe 1981; Spencer 2005) and \*COPY punishing the creation of copies, rooted in the Inclusiveness Condition (Chomsky 1995). Crucially, we do not adopt the Copy Theory of Movement, where every movement leaves a copy by default, but rather follow Müller (2016) in assuming a dedicated operation Copy which is part of GEN that introduces copies under well-defined circumstances. Further, evaluation takes place at every phrase (see Müller 2000b; Heck and Müller 2000, 2013a,b; Fischer 2004; Heck 2008). Under the ranking in (5), an R-pronoun has to leave CompP to satisfy Co-SEL (5a). It cannot directly move to SpecPP due to A-Loc (5b) and it cannot incorporate into P and stay there because this violates \*PR-INC (5c). In the winner (5e) the R-pronoun has incorporated into P followed by movement to SpecPP (4), while a defective copy has been left violating \*COPY. Leaving no copy, on the other hand, fatally violates higher ranked  $*[x_0 t]$  (5d). By assumption, the Copy operation does not copy formal features as [+wh] which accounts for the ungrammatical data in (3) if wo is just da with a [+wh] feature. Dialects that do not exhibit R-pronoun doubling have a ranking where \*A-Loc and \*[x0 t] are demoted below \*Copy (6). The present analysis accounts for dialectal differences (issue iii) and not only models the replication, but explains why it occurs (issue ii), namely as a consequence of the interaction of independently established constraints on syntax, an issue that has not hitherto been properly addressed by previous analyses.

- (1) a. \*Ihn<sub>1</sub> hat Maria damals [PP für t<sub>1</sub>] gestimmt. him has Maria back.then for voted 'For him Maria voted back then.'
  - b. Da<sub>1</sub> hat Maria damals [PP t<sub>1</sub> für] gestimmt. da has Maria back.then for voted 'Maria voted for it back then.'
- (2) a. Da hat Maria damals [PP da-für] gestimmt. da has Maria back.then da-for voted 'Maria voted for it back then.'
  - b. [PP Da-da-für] hat Maria damals gestimmt.
  - c. Maria hat damals [PP da-da-für ] gestimmt.
- (3) a. Wo ist Fritz allergisch [PP da-gegen]? wo is Fritz allergic da-against 'What is Fritz allergic to?'
  - b. \*Wo ist Fritz allergisch [PP wo-gegen]?
  - c. [PP Wo-da-gegen] ist Fritz allergisch?
  - d. \*[PP Wo-wo-gegen] ist Fritz allergisch?

(5) Optimization of the PP in doubling dialects

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Input: [PP mit da ]	CO-SEL	*PR-INC	*[ <sub>X0</sub> t]	A-Loc	*Сору		
a. [PP mit da ]	*!		   				
b. [PP da <sub>1</sub> [P' mit t <sub>1</sub> ]			l I	*!			
c. $[PP]$ $[P]$		*!					
d. [ $_{PP}$ da $_1$ [ $_{P'}$ [ $_{P}$ t $_1$ mit ] t $_1$ ]]			*!				
$\mathfrak{P}$ e. [PP da <sub>1</sub> [P' [P da <sub>1</sub> mit] t <sub>1</sub> ]]			l !	 	*		

(6) Optimization of the PP in non-doubling dialects

Input: [PP mit da ]	Co-Sel	*PR-INC	*COPY	A-Loc	*[ <sub>X0</sub> t]
a. [PP mit da ]	*!		   		
$\mathfrak{B}$ b. [PP da <sub>1</sub> [P' mit t <sub>1</sub> ]		 	 	*	
c. [PP P da1 mit ] t1 ]		*!			
$\mathfrak{P}$ d. [PP da <sub>1</sub> [P' [P t <sub>1</sub> mit] t <sub>1</sub> ]]		 	 		*
e. [ $_{PP}$ da $_1$ [ $_{P'}$ [ $_{P}$ da $_1$ mit ] t $_1$ ]]		 	*!		

## (7) CO(MPLEMENT)-SEL(ECTION)

Assign a violation for every element in a complement position of a head that is not selected by that head.

### (8) ANTILOCALITY (A-LOC)

Assign a violation for every movement from complement position into specifier position of the same head.

#### (9) $*[x_0 t]$

Assign a violation for every trace inside a complex head.

# (10) \*COPY

Assign a violation for every copy of an element.

### (11) \*PR(ONOUN)-INC(ORPORATION)

Assign a violation for every anaphorically or cataphorically referring element that is entirely included in a complex head.

